



Docket No. 4167-4000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): M. Suzanne BRADSHAW et al.
Serial No.: 09/729,043
Filed: December 4, 2000
For: A NEW YEAST-BACTERIA SHUTTLE VECTOR

Group Art Unit: TBA
Examiner: TBA

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1. Amendment in Response to Notice to Comply (9 pages plus 1 duplicate page)
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3. Paper Copy of the Substitute Sequence Listing (3 pages)
4. A Statement to Support Filing and Submission of Sequence Listing in Accordance with 37 C.F.R. §§1.821-1.825 (1 page)
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Correspondence Address:

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, NY 10154-0053
(212) 758-4800 Telephone
(212) 751-6849 Facsimile



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FORMALITIES LETTER



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Morgan & Finnegan LLP
345 Park Avenue
New York, NY 10154

Date Mailed: 03/13/2002

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Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- The paper or compact disc copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e). Applicant must provide a substitute paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application OR a substitute computer readable form (CRF) copy of the "Sequence Listing". These two items must be the same. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

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